Three Heads are Better than Two: HBcrAg as a New Predictor of HBV-related HCC

Jer-Wei Wu,1 Jia-Horng Kao,1,4 and Tai-Chung Tseng1,3

1Division of Gastroenterology and Hepatology, Department of Internal Medicine, National Taiwan University Hospital, Taipei, Taiwan. 2Hepatitis Research Center, National Taiwan University Hospital, Taipei, Taiwan. 3Department of Medical Research, National Taiwan University Hospital, Taipei, Taiwan. 4Graduate Institute of Clinical Medicine, National Taiwan University College of Medicine Taipei, Taiwan.

Short title: HBcrAg as a New HBV-related HCC Predictor

Correspondence and reprint requests to:
Dr. Tai-Chung Tseng
Assistant Professor, Department of Medical Research
National Taiwan University Hospital
1 Chang-Te St., Taipei 10002, TAIWAN
Tel.: 886-2-23123456 ext. 66144
Fax: 886-2-23825962
E-mail: tctsenghbv@gmail.com
Orcid ID: https://orcid.org/0000-0003-0420-8311

Number of references: 44
Electrical word count: 3,260
Abstract word count: 243

Abbreviation
ALT, alanine aminotransferase; BCP, basal core promoter; cccDNA, covalently closed circular DNA; CHB, chronic hepatitis B; CI, confidence interval; ER, endoplasmic reticulum; HBcAg, hepatitis B core antigen; HBcrAg, hepatitis B core-related antigen; HBeAg, hepatitis B e antigen; HBsAg, hepatitis B surface antigen; HBV, hepatitis B virus; HCC, hepatocellular carcinoma; HR, hazard ratio; NA, nucleos(t)ide analogue; p22cr, 22kDa precore protein.

Grant/Funding Support
This work was supported by the grants from the National Taiwan University Hospital (107-N4041,108-N4157,109-N4644,109-P05), the Ministry of Science and Technology, Executive Yuan, Taiwan (MOST 106-2314-B-002 -136), the National Health Research Institutes (NHRI-EX108-10807BC)
Disclosure
All authors declare no competing interest.
Abstract

Patients with chronic hepatitis B virus (HBV) infection are at risk of developing hepatocellular carcinoma (HCC), and serum markers reflecting viral replication are potential predictors for HCC development. Besides the levels of serum HBV DNA and hepatitis B surface antigen (HBsAg), hepatitis B core-related antigen (HBcrAg) quantification is an emerging serological marker for viral replication. Unlike HBV DNA and HBsAg, HBcrAg is a covalently closed circular DNA-derived protein marker, consisting of hepatitis B e antigen (HBeAg), p22cr, and hepatitis B core antigen. In treatment-naïve HBV patients, higher HBcrAg levels are shown to be associated with an increased risk of HCC in several studies. More importantly, HBcrAg may complement HBV DNA level to predict HCC development. For example, an Asian treatment-naïve cohort study’s data showed that HBcrAg level of 4 log U/mL was effective to stratify HCC risk in HBeAg-negative patients with intermediate viral loads, who may not need antiviral therapy because of the low to moderate risk of HCC. In patients receiving prolonged nucleos(t)ide analogue with profound viral suppression, most data indicated that HBV DNA and HBsAg levels no longer serve as HCC predictors. However, several studies suggested on-treatment HBcrAg levels may remain as an HCC predictor. In summary, HBcrAg level can be a useful biomarker for treatment-naïve patients, but its value in on-treatment patients needs validation. The next challenge is how to combine HBcrAg with the other viral markers to construct a better HCC prediction model, optimizing the management of HBV patients.

Keywords

Hepatitis B virus, hepatitis B core-related antigen, hepatocellular carcinoma, HBV DNA, HBsAg
Introduction

Chronic hepatitis B virus (HBV) infection continues to be a major public health issue worldwide, although safe and effective vaccines are available for more than 3 decades. Recent data estimated that more than 257 million individuals worldwide are positive for hepatitis B surface antigen (HBsAg). These individuals with chronic hepatitis B infection are at an increased risk of developing liver cirrhosis, hepatic decompensation, and hepatocellular carcinoma (HCC); 15% to 40% of these individuals will develop these serious sequelae during their lifetime.

Nucleos(t)ide analogues (NAs) are the most adopted antiviral treatment for patients with chronic hepatitis B (CHB). NAs effectively suppress HBV replication to undetectable levels through the inhibition of viral reverse transcriptase. It not only stops the progression of liver fibrosis but also reduces the risk of HCC. However, rebound of viremia frequently occurs after the discontinuation of NA, primarily because of the persistence of the active transcriptional template of HBV covalently closed circular DNA (cccDNA). Therefore, prolonged antiviral therapy is usually necessary until the clearance of HBsAg.

Identifying CHB patients with high HCC risk for early antiviral therapy is an urgent need because more than half of these patients will suffer from serious liver sequelae during their lifetime. So far, there are several serum quantitative markers for viral replication, including HBV DNA, HBsAg, and hepatitis B core-related antigen (HBcrAg). Previous studies showed and validated the role of HBV DNA and HBsAg in predicting HCC development in treatment-naïve patient. Recently, HBcrAg level has been increasingly recognized as an emerging predictor for HCC development. In this review, the evolutionary roles of these 3 biomarkers in predicting HCC development among CHB patients will be summarized and discussed.

What is HBcrAg?

HBcrAg consists of 3 precore/core protein products sharing an identical 149 amino acid sequence: hepatitis B core antigen (HBcAg), hepatitis B e antigen (HBeAg), and a 22-kDa precore protein(p22cr) (Figure 1). HBcAg is the nucleocapsid that encloses the viral DNA. HBeAg is a circulating protein derived from the core gene, then modified and secreted from liver cells. It usually serves as a marker of active viral replication. The p22cr is the dominant precore/core protein in HBV DNA-negative particles. For both HBeAg-positive and -negative patients, HBcAg only accounts for 3.1–37.4% (median 10.5%) of HBcrAg in HBsAg-positive particles.

There are two start codons in the HBV’s precore/core open reading frame (Figure 2A). The first ATG encodes the entire amino acid sequence of hepatitis B core protein (HBcAg) plus a 29 amino acids precore sequence at the N-terminal end.
There are 2 major products derived from post-translational modifications of precore protein. HBeAg is generated after the first cleavage of the first 19 amino acids, a signal sequence that allows translocation into the lumen of endoplasmic reticulum (ER), and second cleavage of up to 34 amino acids from the arginine-rich C-terminal end. HBeAg is then secreted through the ER and Golgi apparatus. Another product is 22-kDa precore protein (p22cr), containing the uncleaved signal peptide and lacking the arginine-rich domain involved in binding the RNA pregenome or the DNA genome. In contrast to the first start codon, the second ATG specifically encodes HBcAg only.

The viral mutations of the precore region highly affect the ratio between HBeAg/p22cr and HBcAg. The precore stop codon mutation (G1896A) is a single-base substitution of G-to-A at nucleotide position 1896 located in the precore gene, which creates a stop codon that prevents the translation of the precore open reading frame and terminates the production of HBeAg/p22cr, but not HBcAg. Therefore, emergence of the mutation lowers the expression level of HBeAg, which has been shown to be associated with HBeAg-negative disease and is expected to cause a lower HBcrAg level in serum. This variant may explain the different HBcrAg levels between HBeAg-negative and -positive patients.

A sensitive enzyme immunoassay (EIA) specific for HBcAg and HBeAg was first introduced in 2002, and Kimura et al. designated these proteins translated from the precore/core gene as HBcrAg. With the pretreatment by detergents, this assay can detect HBcAg and HBeAg even in anti-HBc or anti-HBe positive specimens. Not only HBcAg and HBeAg but also p22cr can be measured by the serological testing. So far, there is only one commercial HBcrAg assay available (Lumipulse G HBcrAg assay from Fujirebio). Its linear range for quantification spans from 3 to 7 logU/ml and its lowest sensitivity limit could reach 2 logU/ml.

HBcrAg levels well correlate with HBV DNA levels. Yoshida et al. reported good performance of the HBcrAg assay in identifying high viremic individuals among the treatment-naïve CHB patients using systematic review and meta-analysis. A positive correlation between HBcrAg and HBsAg levels was also observed in Asian and European cohorts. A Taiwanese cohort study including 2,666 patients showed a high correlation between HBcrAg and HBV DNA levels (r = 0.83; P < .001), while a moderate correlation between HBcrAg and HBsAg levels (r = 0.59; P < .001). It is plausible that HBcrAg is better correlated with HBV DNA than HBsAg because both HBcrAg and HBV DNA are only derived from cccDNA, whereas HBsAg may also be translated from the integrated viral genome. In addition, serum HBcrAg levels have been shown to correlate well with cccDNA level and its transcription activity. Suzuki et al. first reported the correlation between HBcrAg and other viral markers,
including HBeAg, HBsAg, serum HBV DNA, and intrahepatic cccDNA. They enrolled a total of 57 patients with chronic hepatitis B, and HBcrAg was observed to correlate positively with cccDNA ($r = 0.692, P < 0.001$). This correlation was also observed in another study of hepatitis B virus (HBV) re-infection after liver transplantation. Conducted by Wong et al., a study enrolling 138 patients with chronic hepatitis B reported that HBcrAg correlated positively with cccDNA not only in overall patients ($r = 0.70, P < 0.001$) but also in patients achieving undetectable HBV DNA level after antiviral therapy ($r = 0.42, P < 0.001$).

**Viral replication markers to predict HCC risk in treatment-naïve patients**

To optimally investigate the causal relationship of dynamic factors, cohort studies are preferred over cross-sectional studies. Therefore, the role of viral factors in predicting HCC is only reviewed in cohort studies (Table 1).

The positive relationship between serum HBV DNA level and HCC risk was first shown by a prospective community-based cohort study known as REVEAL-HBV (Risk Evaluation of Viral Load Elevation and Associated Liver Disease/Cancer-Hepatitis B Virus), which followed 3,653 adult Taiwanese HBsAg seropositive patients over a mean follow-up period of 11.4 years. In particular, the HCC risk started to increase when serum viral load $\geq$ 2,000 IU/mL but increased dramatically when viral load $\geq$ 20,000 IU/mL. The HBV DNA cutoff levels of 2000 IU/mL and 20,000 IU/mL are thus recommended to categorize the patients into low, intermediate, and high-risk groups.

The role of HBsAg level was first shown by a hospital-based cohort study with the acronym of ERADICATE-B (Elucidation of Risk fActors for Disease Control or Advancement in Taiwanese hEpatitis B carriers), which enrolled 2,688 Taiwanese HBV carriers who did not have evidence of cirrhosis at baseline and remained treatment-free during the follow-up period. The mean follow-up period was 14.7 years. The data showed that HBsAg level could complement HBV DNA level in predicting HCC risk. In patients with viral load $<$ 2000 IU/mL, serum HBsAg level of 1000 IU/mL further stratified the risk, which is also validated by the REVEAL-HBV cohort.

The association between serum HBcrAg level and the development of HCC was first reported by Kumada et al. They selected 117 NA-treated and 117 treatment-naïve patients using the propensity score matching, which was designed to explore whether the NA treatment lowers the HCC risk. The multivariable analysis showed that serum HBcrAg level greater than 3.0 log U/mL was associated with HCC development.

The predictive value of serum HBcrAg level for the development of HCC in
treatment-naïve patients was first reported by Tada et al. in a Japanese cohort. The hospital-based retrospective cohort recruited a total of 711 treatment-naïve patients with available HBcrAg levels. After a median follow-up period of 10.7 years, patients with an HBcrAg level greater than 2.9 log U/ml were associated with more than 5-fold increase in the risk of HCC development than those below the level.

Another retrospective cohort study, enrolling 207 spontaneous HBeAg seroconverters from Hong Kong, was conducted to explore the relationship between HBcrAg level and HCC development. The median follow-up period was 13.1 years. The data showed that a higher baseline serum HBcrAg level at the time of HBeAg seroconversion was associated with increased risk of HCC development. The adjusted hazard ratio (HR) was 1.75 when stratifying the patients by baseline HBcrAg level of 5.21 log U/mL. To be noted, it is not a treatment-free cohort as nearly half of the study patients received NAs at a median of 5.5 years after the spontaneous HBeAg seroconversion.

A shared limitation of both studies is relatively small patient numbers, which make it difficult to explore rare events, such as HCC development. The ERADICATE-B cohort study from Taiwan actually overcomes these challenges. It showed a linear relationship between serum log_{10} HBcrAg level and HCC risk. The multivariable analysis revealed that, compared to the patients with the HBcrAg less than 4 log U/mL, those with the HBcrAg between 4 and 5 log U/mL, 5 and 6 log U/mL, and greater or equal to 6 log U/mL had higher HCC risk with adjusted HRs of 2.83 (95% confidence interval [CI], 1.67–4.80), 3.73 (95% CI, 2.08–6.70), and 5.33 (95% CI, 2.77–10.26), respectively. Furthermore, the data showed that HBcrAg served as a better HCC predictor than HBsAg did in HBeAg-negative patients with intermediate HBV viral load and normal alanine aminotransferase (ALT) level at baseline, who fell into the grey zone of the HBV management. The serum HBcrAg level greater or equal to 4 log U/mL was associated with more than 6-fold increase in the risk of HCC development than those with HBcrAg level below this cutoff.

Taken together, serum HBcrAg level could be used to evaluate the risk of HCC development in treatment naïve CHB patients. On top of the risk groups stratified by HBV DNA level, HBsAg and HBcrAg levels further categorize their HCC risk in those with low- and intermediate-risk levels. Such a risk stratification system may help physicians optimize the clinical management of HBV patients.

Viral replication markers to predict HCC development in on-treatment patients

Oral antiviral therapy is effective in inhibiting reverse transcription of pregenomic RNA, thus serum HBV DNA could be lowered to an undetectable level in most of the on-treatment patients. In contrast, HBsAg is translated from the viral
mRNA directly, thus it remained high and stable. However, the HBcrAg kinetic was different from both as it consists of the protein products translated from the precore/core mRNA.

A decline in serum HBcrAg level in CHB patients after NA therapy was first reported by a study from Hong Kong. They found that HBcrAg level declined faster (-0.244 log U/mL/year) than HBsAg level did (-0.107 log IU/mL/year). HBcrAg level dropped more rapidly in the first year of follow-up compared with the reduction in subsequent years (−0.815 vs. −0.117 log KU/mL/year, P<0.001). In addition, only about 32.0% of the patients had undetectable HBcrAg at the end of follow-up although most of the patients cleared their serum HBV DNA levels. The rapid decline was also validated in a Japanese study. They reported a decline in the serum HBcrAg level of >1 log U/mL in both the HBeAg-positive and -negative cohorts after NA treatment.

The mechanism of the decline in the serum HBcrAg level after NA treatment remains vague. In the HBV replication cycle, HBcrAg comes from the transcription of the HBV precore/core gene, in which NA treatment has no direct effect. A drop of serum HBcrAg level could be attributed to the prevention of new infection, which may further reduce the intrahepatic cccDNA pool. This inference needs more longitudinal data of intrahepatic cccDNA and serum HBcrAg levels during different time points of the treatment course.

The viral factors impact the development of HBV-related HCC differently between the patients with and without antiviral treatment. Most of the studies suggested that HBV DNA and HBsAg levels did not predict HCC development after NA treatment. However, several reports suggested a different role of HBcrAg in predicting HCC development. The positive association between HBcrAg level and HCC risk was first reported by Honda et al. in a Japanese cohort study. The hospital-based retrospective cohort enrolled 109 CHB patients receiving NA therapy for more than 2 years. About half the patients received potent antiviral treatment. After a mean follow-up duration of 6.5 years, 36 patients developed HCC. The HBcrAg positivity before treatment was shown not to predict HCC development. In contrast, at the end of follow-up, the patients with HCC development were more likely to have a detectable HBcrAg than those without HCC development. Ando et al. also explored the relationship between HBcrAg and HCC development in another Japanese treatment cohort. A total of 133 CHB patients who received NA therapy and achieved undetectable serum HBV DNA were enrolled. Most of them received potent NA therapy. The median follow-up duration after the disappearance of HBV DNA was 4.8 years and 13 patients subsequently developed HCC. The data showed that patients with higher serum HBcrAg levels when the serum HBV DNA was cleared.
were associated with an increased HCC risk.

A small patient number is a common limitation for both studies. In a recent Japanese treatment cohort on a large number of patients, Hosaka et al. found a positive correlation between on-treatment HBcrAg level and HCC risk. A total of 1,268 CHB patients were enrolled and about half of them received potent NA therapy. The median follow-up duration was 8.9 years. The data showed that a higher HBcrAg level at 1 year after antiviral therapy was associated with higher HCC risk and the cutoff values were 4.9 log U/mL and 4.4 log U/mL for the HBeAg-positive and HBeAg-negative patients, respectively. The multivariable analysis revealed that, compared to the patients with low HBcrAg levels after 1-year treatment, those with high HBcrAg levels had a higher risk of HCC, with adjusted HRs of 6.15 (95% CI, 1.89–20.0) for the HBeAg-positive patients, and 2.54 (95% CI, 1.40–4.60) for the HBeAg-negative patients. The role of pre-treatment HBcrAg level could not be evaluated in the HBeAg-positive patients because most HBcrAg levels at baseline were beyond the assay’s upper detection limit. The pre-treatment HBcrAg level was not associated with HCC development in the HBeAg-negative patients.

In addition to the Japanese cohort studies, a recent Hong Kong study enrolling 1,400 NA-treated CHB patients was conducted to explore the relationship between HBcrAg level and HCC development. All the patients received potent NA therapy. During a median follow-up duration of 45 months, 85 patients developed HCC. High serum HBcrAg levels were defined as >2.9 log U/mL in the HBeAg-negative patients and >4.9 log U/mL in the HBeAg-positive patients (cutoffs adopted from Tada’s and Hosaka’s reports, respectively). They found that high on-treatment HBcrAg levels were associated with an increased risk of HCC development in the overall cohort and HBeAg-negative patients (n=1,042), but not in HBeAg-positive patients (n=358). In addition, serum HBsAg levels were not associated with HCC risk. However, 92.6% of the patients had received NA before the enrollment with various duration (median: 44 months, interquartile range: 18-71 months), and around 70% had already achieved undetectable HBV DNA when HBcrAg was determined. All these factors might influence the interpretation of the findings and thus should be cautious.

In short, on-treatment levels and kinetic of HBcrAg are potential predictors for HCC development in NA-treated patients. Most data are coming from Asian countries, especially from Japan (Table 2). We need more data from other countries for validation.

**Challenges ahead and the way forward**

Current data have suggested that HBcrAg level serves as an HCC predictor in treatment-naïve patients and on-treatment level or kinetic of HBcrAg may stratify
HCC risk in NA-treated patients. However, several fundamental and essential questions remain to be answered.

First, HBcrAg assay detects HBeAg, p22cr and HBcAg, and HBcAg represents around 10.5% of the precore/core proteins in HBsAg-positive particles only. As HBeAg and p22cr share the first start codon of the precore/core region, production of both proteins could be highly affected by the precore stop codon (G1896A) mutation (Figure 2B). In other words, serum HBcrAg levels will be reduced when precore stop codon mutation (G1896A) emerges. This may raise two issues. 1. Although HBcrAg is now considered as a surrogate marker of intrahepatic cccDNA level, the proportion of precore stop codon mutation (G1896A) should be included as an adjusting variable in the prediction formula. 2. Although the REVEAL-HBV cohort study has shown that the precore stop codon mutation (A1896) was associated with a lower HCC risk compared to the precore wild-type (G1896), the relationship between the viral variant and HCC has not been well validated. If the relationship is confirmed, the viral variants may confound the relationship between HBcrAg level and HCC as it also affects the production of HBcrAg. In addition, basal core promoter (BCP) mutations are another potential confounder as they are HCC-associated variants that may influence HBcrAg production. More studies are needed to clarify whether HBcrAg levels remain an independent risk factor after adjusting these viral variants (Figure 3).

Second, the underlying mechanism of how HBcrAg levels affect HCC development is unclear. As HBcrAg is a viral protein translated from cccDNA level, a common hypothesis is that higher HBcrAg levels indicate more replication competent viral templates and less controlled viral replication, which could lead to more liver damage followed by higher risk of cirrhosis and HCC development. The data from ERADICATE-B study has supported the hypothesis by showing the positive relationship between HBcrAg and the risk of developing pre-HCC adverse events in treatment-naïve patients. A Japanese cohort study also had a similar finding. These data confirmed the hypothesis in treatment-naïve patients. In contrast, once patients receive NA treatment, it prevents not only the HBV-related liver necroinflammation but also the progression of liver fibrosis. That is why most of the data suggest that HBV DNA and HBsAg levels are no more HCC predictors after NA treatment. It is still unknown whether HBcrAg level plays a different role from HBV DNA and HBsAg levels in predicting the residual HCC risk in patients after prolonged NA therapy. More clinical data and mechanistic studies are awaited to address this issue.

The last challenge is how to apply the HBcrAg quantification in clinical practice. Current lines of evidence show that it could not replace HBV DNA level to predict
HCC development or to serve as the criteria for initiating antiviral treatment, but whether HBcAg levels may serve as a biomarker to optimize the management of HBeAg-negative patients at grey zone, who have either high HBV DNA levels or mildly elevated ALT levels, deserves further investigation. Conflicting results about the HCC risk in the grey zone patients existed in recent studies, suggesting this is a heterogeneous population. As HBcAg level is another biomarker for viral replication, it may play a given role in stratifying the risk of HCC development in this special clinical setting, which may help physicians optimize the management of the so-called “grey zone” patients.

**Conclusion**

In summary, the viral markers representative of HBV replication are useful predictors for HBV-related HCC in treatment-naïve patients. The next key question is how to combine all of them to achieve a more accurate HCC prediction in treatment-naïve patients. In those receiving prolonged antiviral therapy, more conclusive studies are needed to clarify the relationship between HBcAg level and the HCC risk.
Author contribution

Conceptualization: Jer-Wei Wu and Tai-Chung Tseng

Drafting of the manuscript: Jer-Wei Wu and Tai-Chung Tseng

Critical review and editing of the manuscript: Jia-Horng Kao and Tai-Chung Tseng
Figure 1. The HBV replication cycle.
Abbreviations: cccDNA, covalently closed circular DNA; ER, endoplasmic reticulum; HBcAg, hepatitis B core antigen; HBeAg, hepatitis B e antigen; HBsAg, hepatitis B surface antigen; p22cr, 22kDa precore protein.

Figure 2. Precore/core protein translation is initiated from (A) different start codons of the precore/core mRNA and (B) the translation of precore protein, including HBeAg and p22cr, is abolished by emergence of precore stop codon mutation (G1896A).
Abbreviations: HBcAg, hepatitis B core antigen; HBcrAg, hepatitis B core-related antigen; HBeAg, hepatitis B e antigen; p22cr, 22kDa precore protein.

Figure 3. Precore stop codon mutation (G1896A) and basal core promoter mutations may confound the relationship between hepatitis B core-related antigen (HBcrAg) level and risk of hepatocellular carcinoma (HCC).
Reference


15. Chan HL, Hussain M, Lok AS. Different hepatitis B virus genotypes are associated with different mutations in the core promoter and precore regions during hepatitis B e antigen seroconversion. Hepatology 1999;29:976-84.


27. Lam YF, Seto WK, Wong D, et al. Seven-Year Treatment Outcome of Entecavir in a Real-World Cohort: Effects on Clinical Parameters, HBsAg and HBcrAg Levels. Clin Transl Gastroenterol 2017;8:e125.
37. Tseng TC, Liu CJ, Yang WT, et al. Serum Hepatitis B Core-related Antigen Level Stratifies Risk of Disease Progression in Chronic Hepatitis B Patients with


